



# The distributed model intercomparison project (DMIP): motivation and experiment design

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Received 7 May 2003; revised 11 June 2003; accepted 29 March 2004

## Abstract

The distributed model intercomparison project (DMIP) was formulated as a broad comparison of many distributed models amongst themselves and to a lumped model used for operational river forecasting in the US. DMIP was intended to provide guidance on research and implementation directions for the US National Weather Service as well as to address unresolved questions on the variability of rainfall and its effect on basin response. Twelve groups participated, including groups from Canada, China, Denmark, New Zealand, and the US. Numerous data sets including seven years of concurrent radar-rainfall and streamflow data were provided to participants through web access. Detailed modeling instructions specified calibration and verification periods and modeling points. Participating models were run in ‘simulation’ mode without a forecast component. DMIP proved to be a successful endeavour, providing the hydrologic research and forecasting communities with a wealth of results. This paper presents the background and motivations for DMIP and describes the major project elements.

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**Keywords:** Hydrologic model; Distributed model; Rainfall-runoff; Model comparison; Lumped model; Forecast; Simulation

## 1. Introduction

The distributed model intercomparison project (DMIP) arose out of the convergence of several factors. First, the National Oceanic and Atmospheric Administration’s National Weather Service (NOAA/NWS) recognized the need to infuse new science into its river forecasting capability. Second, the continued proliferation of geographic information system (GIS) data sets and exponential increases in

computer capabilities have largely removed historical barriers from the path for development of complex distributed models. Finally, but certainly not the least important, large questions remain regarding the effect of the variability of precipitation and basin properties on runoff response. Related to these questions is the choice of model or approach to best exploit variability information to generate improved outlet simulations and to provide useful information at ungaged interior points. In this section, we begin with a brief discussion of the specific motivation for distributed models from the NWS perspective. After this, we will discuss several scientific motivations for launching DMIP. Subsequent sections of this paper will describe

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the DMIP goals, design, data, participants, and modeling instructions. A companion paper (Reed et al., 2004, this issue) presents the analyses, conclusions, and recommendations of the DMIP project. Beyond presenting the motivations for DMIP, the purpose of this paper is to discuss the major project elements so as to avoid needless repetition in subsequent contributions in this issue.

### 1.1. The NWS motivation

The NWS is uniquely mandated among US federal government agencies to provide river and flash flood forecasts for the entire US. To accomplish this challenging mission, the NWS has deployed the NWS River Forecast System (NWSRFS) at 13 River Forecast Centers (RFC) and flash flood monitoring and prediction tools at over 120 Weather Forecast Offices (WFO) across the nation. Daily river forecasts are currently being provided at over 4,000 points, with high-resolution flash flood forecasts being generated as needed. Traditionally, forecasts have been generated through the use of lumped conceptual models. The Hydrology Lab (HL) supports the NWS mission by conducting scientific research, software development, and data analysis and archival for the RFCs and WFOs. Interested readers are referred to Glauemans et al. (2002), Fread et al. (1995), Larson et al. (1995) and Stallings and Wenzel (1995) for more information regarding the NWS river and flood forecasting mission.

Beven (1985) outlined the benefits of distributed modeling, including the assessment of (1) the effects of land-use change and of spatially variable inputs and outputs; (2) pollutant and sediment movement; and (3) the hydrological response at ungauged sites. The NWS recognizes these advantages and sees distributed modeling as a key pathway to infuse new science into its river and flash flood forecast operations and services (Carter, 2002; Koren et al., 2001). In addition to the scientific attention focused on distributed modeling, the NWS was also motivated to expedite its research in this area based on guidance from National Research Council (1996).

Given the scale of the NWS mission and the recommendations from external reviewers, it was clear that an accelerated and focused program was needed to move the NWS research toward operational

distributed modeling. While numerous distributed models exist and indeed some are moving into the operational forecasting environment (e.g. Koren and Barrett, 1994; Turcotte et al., 2003) it is not clear from the literature which distributed model or modeling approach is best to improve the NWS forecasting capabilities. With guidance from several outside organizations, the NWS formulated DMIP as a method to capitalize on the wealth of distributed modeling research being conducted at academic institutions and other organizations around the world.

With the advent of 4 km spatial resolution and hourly temporal resolution Next Generation Radar (NEXRAD) rainfall estimates in many parts of the US, the NWS and the research community at large have access to gridded rainfall estimates at unprecedented spatial and temporal resolution. Other parts of the world have similar quality radar data available (e.g. Moore and Hall, 2000). Also, the proliferation of GIS data sets and ever-increasing capabilities of computer systems have continued to push distributed modeling to the forefront of hydrologic research and application. In light of these developments, the major question facing the NWS and perhaps other operational organizations is: what is the best way to exploit the information in high resolution radar rainfall estimates and GIS data sets to improve river and flash flood forecasting? Or, in the words of Beven (1985), under what conditions and for what type of forecasting is it profitable to implement a distributed model?

A review of the scientific literature did not provide clear guidance for the NWS. Formal comparisons of hydrologic models for river forecasting have been conducted (e.g. Bell et al., 2001; Moore and Bell, 2001; Moore et al., 2000; WMO, 1992, 1975), but a coherent comparison of lumped and distributed modeling techniques has not been published. It is encouraging that in the development and testing of their distributed models, several authors have included a comparison of their results to those using lumped inputs or from simpler lumped approaches (Bell and Moore, 1998; Boyle et al., 2001; Smith et al., 1999; Kull and Feldman, 1998; Michaud and Sorooshian, 1994b; Obled et al., 1994; Pessoa et al., 1993; Naden, 1992; Loague and Freeze, 1985). In addition, Carpenter et al. (2001, 2003) used Monte-Carlo analysis to evaluate distributed versus lumped

model gains in light of parametric and radar rainfall data uncertainty.

However, we feel that a more organized and controlled comparative effort is required to guide NWS distributed modeling research and development. The emergence of high-resolution data sets, GIS capabilities, and rapidly increasing computer power has maintained distributed modeling as an active area of research. While the utility of distributed models to predict interior hydrologic processes is well known, few studies have specifically addressed the improvement of distributed models over lumped models for predicting *basin outflow* hydrographs of the type useful for flood forecasting. As a consequence, the hypothesis that distributed modeling using higher resolution data will lead to more accurate outlet hydrograph simulations remains largely untested.

The specific requirements of the NWS are as follows:

- (a) The distributed model should perform at least as well in an overall sense as the current operational lumped model. Simulation improvement should be achieved in cases of pronounced variability in rainfall patterns and/or physical basin features including the hydraulic properties of interior channels.
- (b) The distributed model should be operationally feasible in current and anticipated computational environments.
- (c) The distributed model should have reliable and objective procedures for parameterization, calibration, data assimilation, and/or error correction.

## 1.2. Scientific background

Major scientific issues also point to the need for DMIP. Among these are the continuing questions regarding the effects of rainfall and basin feature variability on runoff hydrographs and the level of model complexity needed to achieve a specific objective. Numerous studies in the past three decades have investigated the sensitivity of runoff hydrographs to spatial and temporal variations in precipitation as well as basin properties. Singh (1997) provides at least one comprehensive overview,

and a brief review is provided here to show that mixed results have been documented.

Several of these studies examined the effects of rainfall spatial variability in light of rain gage sampling errors. Using data from five recording rain gages, Faures et al. (1995) concluded that distributed modeling on small catchments requires detailed knowledge of the spatial rainfall patterns. These results agreed with those of Wilson et al. (1979), who showed that the spatial distribution of rainfall had a marked influence on the runoff hydrograph from a small catchment. On the other hand, Beven and Hornberger (1982) stated that rainfall patterns have only a secondary effect on runoff hydrographs, while a correct assessment of the global volume of rainfall input in a variable pattern is more important in simulating streamflow hydrographs. Troutman (1983) investigated the effect of rainfall variability on estimating model parameters. He concluded that improperly representing the rainfall over a basin due to sampling errors would lead to overestimating large runoff events and undersimulating small events. Subsequent research with radar rainfall estimates also contributed to these mixed results. On a small watershed, Krajewski et al. (1991) found a greater sensitivity to the temporal resolution of precipitation than to spatial resolution. Ogden and Julien (1994) performed synthetic tests that identified when spatial and temporal variability of precipitation is dominant.

It is interesting to note that some of these and other studies were based on synthetically generated precipitation and streamflow records (e.g. Watts and Calver, 1991; Troutman, 1983; Wei and Larson, 1971). In many cases, comparisons were made against a reference or ‘truth’ hydrograph generated by running the hydrologic model at the finest data resolution (e.g. Shah et al., 1996; Ogden and Julien, 1993, 1994; Krajewski et al., 1991; Chandrasekar, et al., 1990; Troutman, 1983; Hamlin, 1983). Synthetically generated data were often used due to the lack of appropriately long periods of observed data.

Perhaps some of the mixed results from the early studies arose out of the use of synthetic data, numerical studies, and the choice of the rainfall-runoff models. Many of the studies emphasizing

the importance of rainfall spatial variability used models containing the Hortonian runoff generation mechanism. It is now recognized that runoff results from a complex variety of mechanisms and that in some basins a significant portion of runoff hydrographs is derived from slower responding subsurface runoff (Wood et al., 1990). Obled et al. (1994) commented that numerical experiments in the literature were based on the use of models which may be only a crude representation of reality. Furthermore, they argued that the actual processes at work in a basin may not be those predicted by the model, a caution echoed by Michaud and Sorooshian (1994a), Shah et al. (1996) and Morin et al. (2001).

Thus, the research in the literature may have highlighted the sensitivity of a particular *model* to the spatial and temporal variability of (at times synthetic) precipitation, not the sensitivity of the actual *basin*. The work of Obled et al. (1994) is significant in that they examined the effects of the spatial variation of rainfall using observed precipitation and streamflow data rather than simply model output derived using synthetic data. In addition, the model used in their studies focused on saturation excess runoff as the main runoff generation mechanism. In simulations against observed data, they were unable to prove the value of distributed inputs as they had intended. A semi-distributed representation of the basin did not lead to improved simulations compared to a lumped basin modeling scenario. The authors reasoned that the runoff mechanism may be responsible for the lack of improvement, noting that in runoff generation of the Dunne type, most of the water infiltrates and local variations in input will be smoothed. As a result, this type of mechanism may be much less sensitive to different rainfall patterns. Loague (1990) concluded that revised data did not lead to significant improvement in a physically based distributed model because the model used the Hortonian mechanism while the basin appeared to function with a combination of Hortonian and Dunne overland flow. Michaud and Sorooshian (1994a) recommended that more comparative work be performed on Hortonian versus Dunne overland flow.

Winchell et al. (1997, 1998) extend this theme by noting that there has been a bias towards the use of infiltration-excess runoff mechanisms as opposed to the saturation excess type. Their work with both types

of runoff generation mechanisms found that saturation-excess and infiltration excess models respond differently to uncertainty in precipitation. They suggest that generalizations concerning the effects of rainfall variability on runoff generation and variability cannot be made. Koren et al. (1999) came to a similar conclusion based on simulation results from several different rainfall-runoff partitioning mechanisms.

In the midst of these efforts to understand the importance of the variability of precipitation, a large volume of research continues to emerge that addresses the possibility of improving lumped hydrologic simulations by using distributed and semi-distributed modeling approaches containing so-called physically based or conceptual rainfall-runoff mechanisms. Indeed, at least one book chapter (Beven, 1985) followed by two entire books have been published on such models (Abbot and Refsgaard, 1996; Vieux, 2001). Recently, the availability of high-resolution precipitation estimates from different weather radar platforms has intensified these investigations. Many efforts have focused on event-based modeling and again, mixed and somewhat surprising results have been realized.

Refsgaard and Knudsen (1996) compared a complex distributed model, a lumped conceptual model, and an intermediate complexity model on data-sparse catchments in Zimbabwe. Their results could not strongly justify the use of the complex distributed model. Pessoa et al. (1993) found that adequately averaged gridded precipitation estimates from radar were just as viable as fully distributed estimates for streamflow simulation using a distributed model on an 840 km<sup>2</sup> basin with low intensity rainfall. Conversely, Michaud and Sorooshian (1994a) compared their results with high intensity rainfall and found that simulated runoff is greatly sensitive to space-time averaging. Kouwen and Garland (1989) investigated the effects of radar data resolution and attempted to develop guidelines for the proper resolution of input rainfall data resolution. They noted that spatially coarser rainfall data sometimes led to better hydrograph simulation due to the smoothing of errors present in finer resolution rainfall information. Bell and Moore (2000) noted a similar model response from lower resolution rainfall information. Continuing this theme, Carpenter et al. (2001) examined



the gains from distributed versus lumped modeling in view of radar data and parametric uncertainty. In several cases a spatially lumped model response proved to be statistically indistinguishable from a distributed model response.

In preliminary testing limited to a single extreme event, Kenner et al. (1996) reported that a five sub-basin approach produced better hydrograph agreement than a lumped representation of the basin. Sub-basin rainfall hyetographs revealed spatially varied precipitation totals for the event. Smith et al. (1999) attempted to capture the spatial variability of precipitation using sub-basins for several watersheds in the southern Great Plains of the US. Using a simple semi-distributed approach with spatially uniform conceptual model parameters, they were unable to realize significant improvement over a lumped model. For a basin in the same geographic region, Boyle et al. (2001) concluded that eight subdivisions of a basin provided no gain in simulation accuracy compared to a three sub-basin representation. Apparently, the more coarse representation of the basin captured the essential variability of the rainfall and basin features. However, both simulations were superior to those from a lumped model. Naden (1992) found that lumped modeling was appropriate for even a large 7000 km<sup>2</sup> basin.

Refsgaard (1997) illustrated the concepts of parameterization, calibration, and validation of distributed parameter models. Noting that hydrologists often assume that a distributed model calibrated to basin outlet information will adequately model interior processes, he realized poor simulations of discharge and piezometric head at three interior gaging stations. In contrast, Michaud and Sorooshian (1994b) found that a complex distributed model calibrated at the basin outlet was able to generate simulations at eight internal points that were at least as accurate as the outlet simulations. These results underscore one of the main advantages of distributed parameter hydrologic modeling: the ability to predict hydrologic variables at interior points. They also concluded that a simple distributed model proved to be just as accurate as a complex distributed model given that both were calibrated and noted that model complexity does not necessarily lead to improved

simulation accuracy. Studies such as this may have caused Robinson and Sivapalan (1995) to comment that further work is needed to fully exploit the connection between conceptual and physically based models to advance the science of hydrologic prediction. The distributed modeling work of Koren et al. (2003a,b) is one attempt to follow this recommendation.

Bell and Moore (1998) compared a simple gridded distributed model and its variants to a lumped model used operationally in the UK for flood forecasting. They concluded that a well-designed lumped model is preferred for routine operational purposes on the basins studied. Yet, a distributed model run in parallel to the lumped model would provide meaningful information in the cases of significant rainfall variability.

Seyfried and Wilcox (1995) commented that many have even questioned the usefulness of complex physically based models outside of strictly research applications, especially in light of the effort required to parameterize, calibrate, and implement such models.

In light of these findings, DMIP was formulated as a focused venue to evaluate many distributed models against both a calibrated lumped model and observed streamflow data. Compared to some of the earlier studies on the effects of rainfall variability, DMIP has the advantages of multi-year hourly time series of high resolution radar-based rainfall estimates as well as hourly discharge measurements at both basin outlets and several interior points. Over seven years of concurrent radar rainfall and streamflow data were available. Another aspect of this venue is that researchers would have the opportunity to evaluate their research models with data typically used for operational forecasting. The availability of these data sets had already attracted several researchers to set up and run their models on these basins (e.g. Vieux and Morel, 2003; Carpenter et al., 2001; Finnerty et al., 1997; Bradley, 1997). Moreover, the study basins are free of major complications such as orographic influences, significant snow accumulation, and stream regulation, which may mask the effects of precipitation and basin feature variability. The basins selected for DMIP range from 65 to almost 2500 km<sup>2</sup>, removing the temptation to

extrapolate conclusions from small scale hillslope studies to larger basins of the size typically used for operational forecasting.

## 2. Project design

DMIP identified the following science questions. Some questions were explicitly addressed through the design of the simulation tests discussed in Section 8 and Appendix B, while for others, it was hoped that inferences could be made given a broad range of participating models.

*Can distributed models provide increased simulation accuracy compared to lumped models?* This question would be addressed through the use of multiple distributed model simulations compared to lumped simulations for a number of basins. In the absence of data sets such as spatial fields of soil moisture observations, model calibration and validation would use observed streamflow data. Improving simulations at the outlet of basins is the focus of this effort.

*What level of model complexity is required to realize improvement in basin outlet simulations?* Included in this are questions regarding the use of conceptual versus so-called physically based models and the size of computational elements or the use of semi-distributed approaches. Given a group of participating models with a wide range of complexity and modeling scale, it was hoped that inferences could be made about model complexity and scale.

*What level of effort is required for distributed model calibration? What improvements are realized compared to non-calibrated and calibrated lumped models?* Participants would provide an overview of the process to calibrate their models. Modeling instructions explicitly called for uncalibrated and calibrated simulations, so that the gains by calibration could be weighed against the level of effort. Reed et al., (2003, this issue) discuss the gains provided by calibration.

*What is the potential for distributed models set up for basin outlet simulations to generate meaningful hydrographs at interior locations for flash flood forecasting?* Inherent to this question is the hypothesis that better outlet simulations are the result of accurate hydrologic simulations at points upstream of

the gaged outlet. The NWS is interested in the concept of a distributed model for forecasting both outlet hydrographs as well as smaller scale flash floods upstream of the gage. As noted in the modeling instructions, calibrated and uncalibrated simulations at various gaged and ungaged locations at basin interior points were required. Reed et al. (2003, this issue) evaluate these interior point simulations.

*What characteristics identify a basin as one likely to benefit from distributed modeling versus lumped modeling for basin outlet simulations? Can these characteristics be quantified?* Prior research on the DMIP basins had shown that distributed modeling to capture the essential variability of precipitation and model parameters did not significantly improve simulations in the Illinois River basin. (Carpenter et al., 2001; Smith et al., 1999). On the other hand, another basin in the same geographic region did benefit from one level of distributed modeling (Zhang et al., 2003; Boyle et al., 2001). What is different between these two cases? Through additional simulations from a number of distributed models in DMIP, these prior results could be verified. Given the validated conclusion that certain basins benefit from distributed modeling, one could investigate potential diagnostic indicators that might be used without the expense of setting up a distributed model.

*How do research models behave with forcing data used for operational forecasting?* DMIP provided a realistic opportunity for developers to test their research models in a quasi-operational environment. Such exposure would hopefully identify needed model improvements or further tests to bring such models closer to operational use. Conversely, DMIP could highlight the need for continued research for improving radar or multi-sensor methods of precipitation estimation.

*What is the nature and effect of rainfall spatial variability in the DMIP basins?* The 7 years of gridded radar-based rainfall values presented in DMIP would provide modelers an opportunity to investigate the dominant forms of rainfall spatial variability. Moreover, through the application of multiple distributed models, we hoped to refine our understanding of the effects of rainfall spatial variability on simulated basin outlet hydrographs.

In addition to these identified issues, the participants investigated other relevant questions using the DMIP data sets. These efforts are presented in this special issue.

### 3. Operational issues

As with the science questions surrounding DMIP, issues that need to be addressed before a model can be implemented in NWSRFS for operational use were identified. Explicit experiments were not designed in DMIP to address these issues. Rather, general concepts were discussed at the DMIP workshop.

1. Computational requirements in an operational environment. To be effective in an operationally viable environment, the models need to be accurate, reliable, robust, and be able to run in real time.
2. Run time modifications and updates in an operational forecasting setting.

3. Parameterization and calibration requirements.
4. Does easier parameterization/calibration of a physically based distributed parameter model warrant its use, even when it might not provide improvements over simpler lumped conceptual models?

### 4. DMIP study area

#### 4.1. Description of study basins

Figs. 1 and 2 present the basins used in the DMIP comparison. The Illinois River draining to the USGS gage at Tahlequah, Oklahoma (OK) straddles the Oklahoma-Arkansas (AR) border and contains the Illinois River basin above Watts, OK. Baron Fork drains to the USGS gage in Eldon, OK and then joins the Illinois River a few miles downstream. The Elk River flowing to the USGS gage in Tiff City, Missouri (MO) lies to the north of the Illinois basin, while the Blue River basin lies to the south near the border with

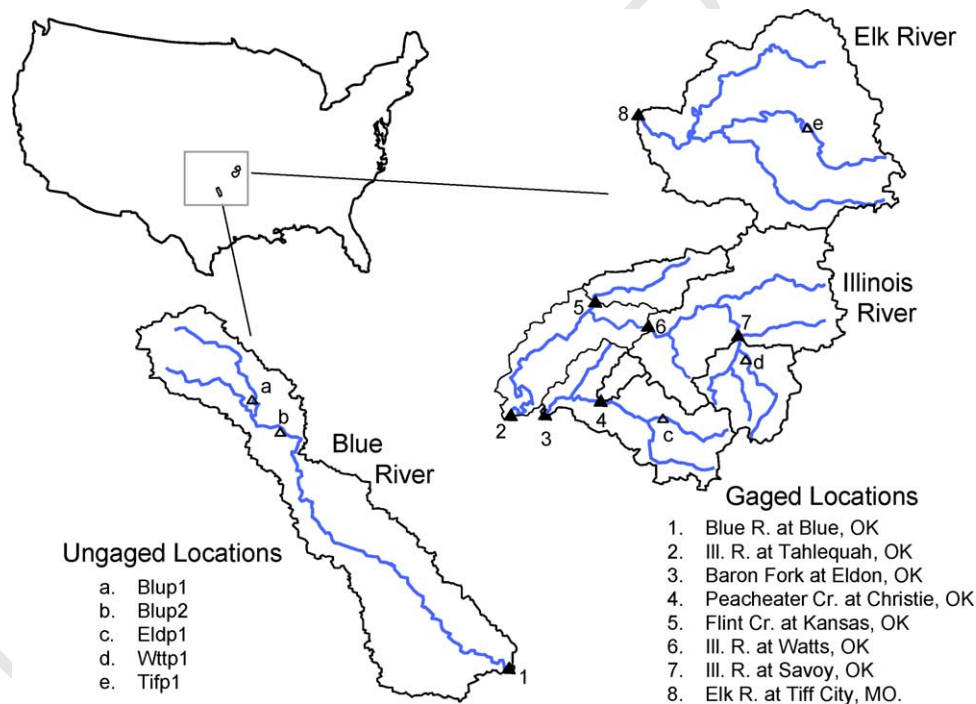


Fig. 1. Location map of DMIP study basins. Numbers are location of USGS stream gages. Letters are locations of ungaged computational points. Blue lines are rivers.

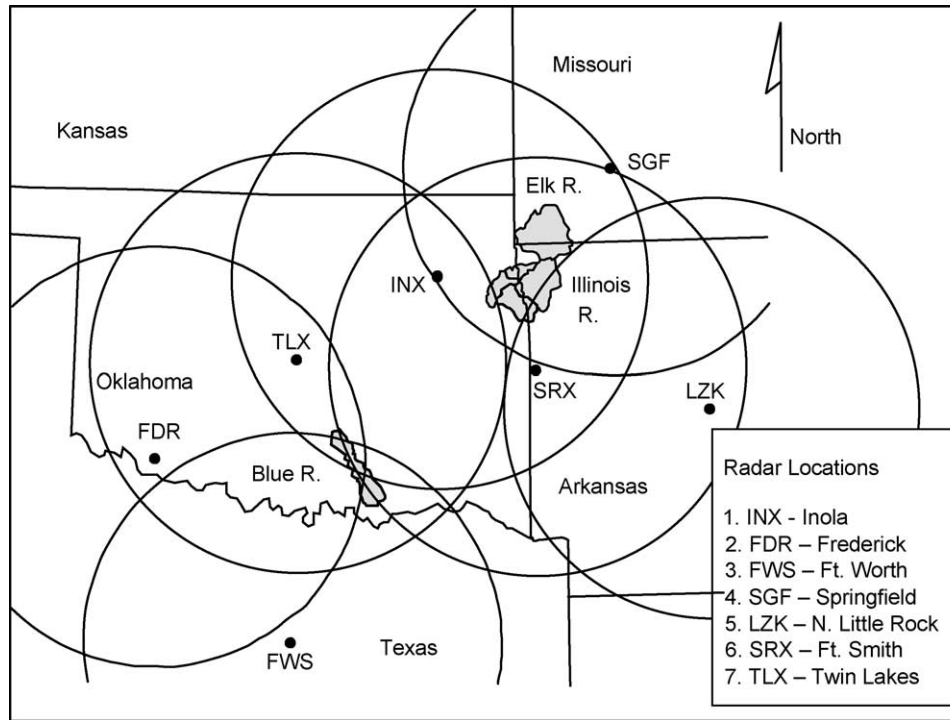


Fig. 2. Location of NEXRAD radar sites and coverage of DMIP basins. Circles denote the coverage of each radar. Radius of the radar umbrella is 230 km.

Texas (TX). These basins are typical of the size used for operational forecasting in the NWS. The numbers in Fig. 1 signify the locations of US Geological Survey (USGS) stream gages at forecast locations and at interior points. Letters denote the location of ungaged points specified for the computation of simulations according to the DMIP modeling instructions. Hereafter, we will use the terms basin outlet and interior point when making general statements about the locations represented by numbers and letters, respectively.

Fig. 2 shows the location of the basins in relation to state boundaries and the NEXRAD radar locations. It can be seen that all the DMIP basins are well inside least one radar umbrella.

Table 1 presents relevant data for the basins. The annual rainfall statistics in column five for the DMIP data period were computed using the radar-based data, while the corresponding climatological statistics were computed using raingauge data. A measure of basin shape is included in Table 1, generated by computing

a ratio of long to short basin axes. The Blue basin has a significantly different aspect ratio compared to the other candidate basins. Hereafter, and in Reed et al. (2004, this issue), we will use the shortened names in column three of Table 1 to refer to the basins.

The dominant soil types in the DMIP basins are presented in Table 2. The table values are estimates of percent by volume of soils for all depths reported in the 11-layer grid derived from the State Soil Geographic (STATSGO) dataset by Miller and White (1999). Based on visual inspection of the 11 layers for each basin, the heavier soils tend to occur at greater depths. For example, in the Tahlequah basin, which is mostly SIL (silt loam), SICL (silty clay loam), and SIC (silty clay), the SIL is generally closer to the surface with SICL deeper and SIC even deeper. Peters and Easton (1996) describe the Tahlequah basin as a region comprised of porous limestone overlain by cherty soils. Areas within the floodplain can contain gravelly soils and may be too pervious to hold water. It is notable that the Blue basin contains a very high



Table 1  
DMIP basin characteristics

Full name	USGS ID	Referred to as:	Area (km <sup>2</sup> )	DMIP Period			Climatology			Basin Morphology		
				Annual rainfall (mm)	Annual runoff (mm)	Runoff coeff.	Annual rainfall (mm)	Annual runoff (mm)	Runoff coeff. Climate	Longest path length (km)	Longest path slope (m/m)	Major–minor axis ratio
Peachewater Creek at Christie, OK	7196973	Christie	65	1213	317	0.26	1157	313	0.27	20.7	0.007	2.6
Flint Creek at Kansas, OK	7196000	Kansas	285	1197	369	0.31	1157	329	0.28	43.7	0.004	2.7
Illinois River at Savoy, OK	7194800	Savoy	433	1246	378	0.30	1179	347	0.29	41.0	0.006	1.2
Baron Fork at Eldon, OK	7197000	Eldon	795	1238	437	0.35	1175	340	0.29	67.2	0.005	2.1
Blue River at Blue, OK	7332500	Blue	1,233	1041	228	0.22	1036	176	0.17	144.3	0.002	6.2
Illinois River at Watts, OK	7195500	Watts	1,645	1208	383	0.32	1160	302	0.26	82.3	0.003	1.5
Elk River at Tiff City, MO	7189000	Tiff City	2,251	1269	346	0.27	1120	286	0.26	109.7	0.003	1.0
Illinois River at Tahlequah, OK	7196500	Tahlequah	2,484	1211	376	0.31	1157	300	0.26	163.8	0.002	1.7

percentage of clay and is much different in its soil texture composition than the other DMIP basins.

The dominant industry in the Blue, Eldon, Tahlequah, and Tiff City basins is agriculture, consisting primarily of poultry production and live-stock grazing. A small percentage of the Tahlequah basin is farmed intensively for vegetables, strawberries, fruit orchards, and nurseries (Meo et al., 2002). Approximately 90% of the Tahlequah basin is

comprised of pasture and forest (Vieux and Moreda, 2003a). The Watts basin contains the Ozark National Forest. Fig. 3 shows the Baron Fork upstream of the gage near Eldon, Oklahoma. Fig. 4 shows the Blue River looking upstream from the gage near the town of Blue, Oklahoma.

The topography of the Blue, Tiff City, Eldon, and Tahlequah basins can be characterized as gently rolling to hilly. In the Tiff City basin, the elevation

Table 2  
Dominant soil types for the DMIP study basins

Basin	S	LS	SL	SIL	SI	L	SCL	SICL	CL	SC	SIC	C
Christie	0.0	0.0	0.0	26.7	0.0	0.0	0.0	22.6	0.9	0.0	46.6	3.2
Kansas	0.0	0.0	0.0	25.4	0.0	0.0	0.0	24.6	0.0	0.0	50.0	0.0
Savoy	0.0	0.0	7.0	14.2	0.0	1.5	4.4	17.8	5.8	0.0	49.4	0.0
Eldon	0.0	0.0	3.4	26.4	0.0	0.7	2.2	16.5	3.4	0.0	45.5	1.9
Blue	0.9	0.0	6.7	0.0	0.0	16.3	6.9	7.5	8.1	8.3	0.3	45.0
Watts	0.0	0.0	1.9	21.7	0.0	0.4	1.2	23.2	1.7	0.0	49.7	0.2
Tiff City	0.0	0.0	0.0	25.8	0.0	0.0	0.0	30.5	0.0	0.0	39.8	3.9
Tahlequah	0.0	0.0	1.4	25.9	0.0	0.3	1.0	22.1	1.1	0.0	48.0	0.2

Values are % by volume of the soil texture. S, sand; LS, loamy sand; SL, sandy loam; SIL, silt loam; SI, silt; L, loam; SCL, sandy clay loam; SICL, silty clay loam; CL, clay loam; SC, sandy clay; SIC, silty clay; C, clay.



Fig. 3. Baron Fork at the USGS gage located near Eldon, Oklahoma. The view is looking upstream from the highway bridge.

above sea level varies from approximately 229 m at the USGS stream gage to 457 m at the basin edge. The Blue basin rises from an elevation of 154 m at the gage to about 427 m in the basin interior, while the Eldon basin ranges in elevation from 214 m to about 443 m. The Tahlequah basin, which contains the Watts

catchment, varies in elevation from 202 m to around 486 m above sea level.

Fig. 5 presents a semi-log plot comparing observed mean daily flows for the study basins. The discharge values have been scaled to the drainage area of the Watts basin. It can be seen that the Watts, Eldon,



Fig. 4. The Blue River looking upstream from highway bridge at USGS gage near Blue, Oklahoma. Picture taken in November, 1999.

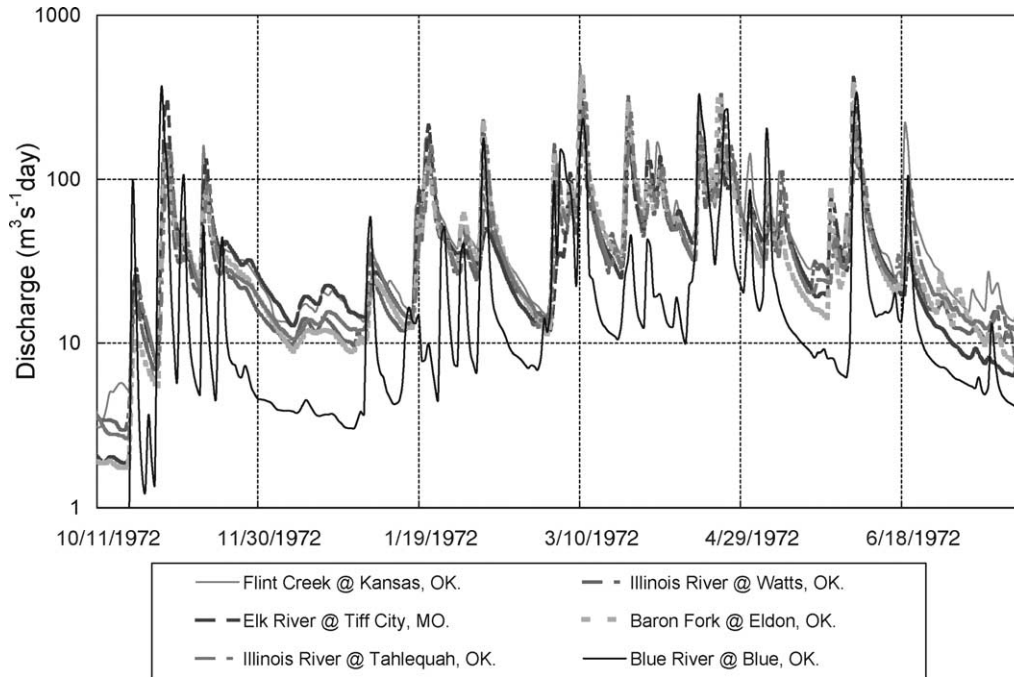


Fig. 5. Semi-log plot of observed streamflow for the DMIP basins. Discharge values have been scaled to a common drainage area.

Tahlequah, and Tiff City basins behave somewhat similarly with small variations in the hydrograph recession rates. On the other hand, Blue exhibits quite distinguishable behavior with much less base flow and quickly falling hydrograph recessions.

Field trips were conducted on two different occasions. Personnel from the NWS and the University of Arizona examined points in the Eldon, Watts, and Tahlequah basins in 1997, while a three day visit to the Blue basin in November, 1999 was made by NWS scientists to collect cross-section measurements. Fig. 6 presents a few of these cross sections.

#### 4.2. Rationale for basin selection

The study basins in Fig. 1 were selected for several reasons. First, these basins had the data required to conduct the intercomparison, beginning with the longest and highest quality archive of NEXRAD radar-based precipitation estimates in the US. The NWS began measuring precipitation with NEXRAD radars in this region in 1993, providing the DMIP project with nearly 8 years of continuous hourly gridded precipitation estimates. The NEXRAD radars

in this area provide good coverage of the study basins as shown in Fig. 2. Also, several pertinent studies of the quality of the NEXRAD precipitation estimates in this region have been performed. (e.g. Young et al., 2000; Wang et al., 2000; Smith et al., 1999; Johnson et al., 1999; Finnerty and Johnson, 1997; and Smith et al., 1996). Concurrent time series of hourly discharge data were also available for the basin outlets and selected interior points.

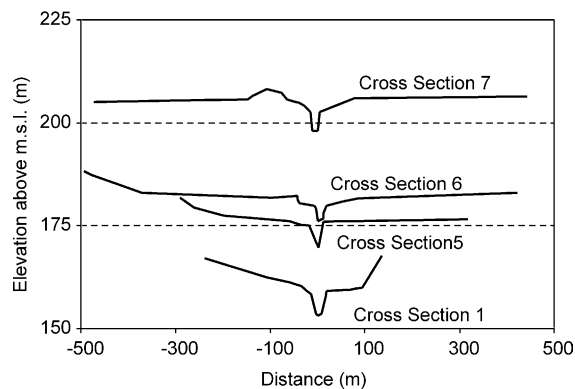


Fig. 6. Selected cross-sections for the Blue River. Cross section 1 is located at the USGS gage. Sections are plotted in meters above mean sea level (msl).



Another critical criterion for selecting basins in this region is the lack of complications such as significant snow accumulation, orographic influences, and modification of the streamflow due to reservoirs. Moreover, the selected parent basins contain several internal points having observed streamflow data, allowing the DMIP program to develop study questions regarding the prediction of interior hydrologic processes.

The Illinois River flowing through Arkansas and Oklahoma presented a good opportunity for participants to test their models on nested basins as seen in Fig. 1. The Eldon basin has an interior gage on Peacheater Creek at Christie, OK. Next to the Eldon basin is the Watts basin, which contains the catchment draining to the USGS gage at Savoy, AR. Both the Watts and Kansas basins are nested within the largest basin, the Illinois River above Tahlequah, OK. Thus, the Tahlequah basin contains three interior gage locations.

The Tiff City and Blue basins have no interior gage locations. These two basins represent additional cases for testing and comparison of distributed hydrologic models. The Blue basin was selected for its long narrow shape, while the Tiff City basin was selected for its large size and rounded shape.

Lastly, the hydrology of the area has been widely studied by others. Finnerty et al. (1997) and Koren et al. (1999) performed model scaling studies using the radar-based precipitation data from the Watts, Tahlequah, and Eldon basins, while Smith et al. (1999) used data from these basins to begin radar-based lumped and distributed modeling research for the NWS. Carpenter et al. (2001) examined the performance of distributed models in this region in light of rainfall and parameteric uncertainty. Kalinga et al. (2003), Zhang et al. (2004) and Boyle et al. (2001) compared semi-distributed and lumped modeling approaches on the Blue basin. Bradley and Kruger (1998) developed a strategy for adjusting model parameters for use with radar-based precipitation estimates when they were originally calibrated with rain gage data. Smith et al. (1996) compared radar and rain gage-based precipitation estimates, while Seo and Smith (1996) studied the climatological variability of surface runoff. Vieux and Moreda (2003b) and Vieux (2001) used the Blue and Illinois River basins, respectively, in the discussion of many aspects of distributed modeling related to GIS.

Peters and Easton (1996) used the Illinois River basin to test a method for linear routing of gridded rainfall excess amounts. Bradley and Smith (1994) and Smith et al. (1994) documented the hydrometeorology and space-time nature of extreme rainfall events in this region. In addition, the Illinois River basin was one of six calibration/validation basins in the recent Project for Intercomparison of Land-surface Parameterization Schemes (PILPS) Phase 2(c) Red-Arkansas River Basin Experiment (Wood et al., 1998).

## 5. Schedule

The major activities for the DMIP effort took place according to the schedule in Table 3. One major complication was that while some participants submitted their simulations by the March 31, 2002 deadline, other participants were quite late, submitting their simulations within one week of the DMIP workshop at NWS headquarters in August, 2002. This spread of submissions allowed some participants more time to refine their results. Reed et al. (2003, this issue) identify the submission dates of the various participants.

## 6. SAC-SMA and calibration

For the NWS, one of the primary requirements for distributed modeling is that the model should equal

Table 3  
Schedule for major DMIP activities

Date	Task
January, 2000	Basic DMIP plan approved by NWS/HL
May 31, 2000	General Announcement of DMIP at Town Hall Meeting, AGU spring Meeting, Washington, DC
June 1, 2000	DMIP plan completed
December 2000	General Announcement to participate in DMIP DMIP web site officially opened.
January 1, 2001	1. All data in place for Illinois River Basins, Elk River Basin and Blue River Basin 2. Metadata and utilities in place
March 31, 2002	Participants send results to HL for analysis
August 22-23, 2002	DMIP workshop at NWS/HL
September 30, 2002	Participants verify that analyzed simulations are correct
January 31, 2003	Deadline for any follow-up submissions.



or improve upon the performance of the current operational lumped approach. To examine this concern, simulations in DMIP were compared to both observed hourly streamflow and to simulations from the current NWS operational model, the Sacramento Soil Moisture Accounting Model (SAC-SMA). The SAC-SMA is a two-layer conceptual model that generates a number of runoff components. Interested readers are referred to Finnerty et al. (1997) and Burnash (1995) for more information. The SAC-SMA model was calibrated following the NWS manual procedure outlined in Smith et al. (2003). Subsequently, the calibrations were evaluated by an independent expert. Another indication as to the quality of the calibration is that the process resulted in a logical and spatially consistent set of parameters (Koren et al., 2003a,b). Uncalibrated simulations for the lumped model were made using the a priori parameter estimates pioneered by Koren et al. (2000) and subsequently used by Duan et al. (2001). While these parameters are derived from soil texture data, they nonetheless still require further calibration. In this way they meet the criteria in the DMIP Modeling Instructions (Section 8) that called for participants to use initial/uncalibrated parameters in specific cases.

## 7. Data

Every effort was made to encourage participation in DMIP. As such, all data needed for most models were assembled and made available through a website/ftp site. A brief discussion of each data set is presented.

### 7.1. Digital elevation model (DEM) data

Participants were free to use any DEM data available. However, to encourage participation in DMIP, DEMs of two different resolutions were provided: 15 arc s DEM data and 1 arc s data. DMIP did not require the use of any particular DEM or modeling resolution. The only constraint was that modelers had to discretize the basin so that simulations could be produced at the required locations.

The NWS National Operational Hydrologic Remote Sensing Center (NOHRSC) created a 15 arc s national DEM by resampling 3 arc s DEMs

(1:250,000 scale) distributed by the US Geological Survey. These data represent sampled elevations at regularly spaced, 15 arc-second ( $0.0041666^\circ$ ) intervals, in geographic coordinates.

The 1 arc s (30 m) data covering the DMIP study areas were made available for this project as an offshoot of the National Basin Delineation project underway at NOAA's National Severe Storms Laboratory (NSSL). The primary goal of the National Basin Delineation project is to provide small-basin boundaries for the NWS Flash-flood Monitoring and Prediction Program (FFMP). To produce the small-basin boundaries, NSSL is cooperating with the USGS EROS data center to use the 1 arc s DEM data available from the USGS National Elevation Dataset (NED) project. NSSL organizes their data processing efforts by eight digit USGS Hydrologic Cataloging Unit (HUC) boundaries. Initial processing steps include:

1. buffering the HUC boundary of interest to allow for differences in ridgelines defined by the DEM and defined by the digitized HUCs
2. merging the required 7.5 minute blocks of DEM data into a seamless data set covering the HUC of interest
3. projecting the seamless data set to allow for correct analysis using Arc/Info software
4. 'filling' the DEM to eliminate artificial sinks (using the Arc/Info fill command).

The filled DEMs (product of Steps 1–4) for HUCs covering the DMIP basins were made available.

### 7.2. Channel cross-sections

Representative cross-sections were provided for only the Blue basin. These were derived from three sources of data:

1. Measurements taken during a site visit.
2. Measurements taken from bridge plans at selected locations.
3. Data from hydraulic computations for bridge pier scour analyses.

Two types of cross-section data were provided. The first type of cross-section has absolute elevations

expressed in meters above mean sea level (msl). These cross-sections were compiled from sources 1, 2 and 3 above in which the elevations were derived from surveyed bench marks. In some cases, the valley section as well as the channel cross-section are described in order for the user to get a more accurate picture of the surrounding terrain.

The second type of channel cross-section has relative elevations. These cross-sections were derived from measurements taken during a site visit and are not referenced to known elevations above mean sea level. Rather, the elevation coordinates of the section are relative and must be adjusted to fit to the elevation of the digital elevation model at that location. In all cases, the cross-section data reflect a representative channel at that location. Fig. 6 presents a plot of selected cross-sections showing the diversity of channel and valley shapes. Photographs were provided on the DMIP web site showing the channel where the cross-sections were derived.

### 7.3. Observed streamflow data

Provisional instantaneous hourly flow data were obtained from USGS local offices. Some quality control of the provisional hourly data obtained from the USGS was performed at NWS-HL. Quality control was a manual and subjective process accomplished through visual inspection of observed hydrographs. Flow values were not interpolated during this quality control. Most commonly, suspect portions of the hydrograph were simply set to missing. Hydrographs sections with (1) a sudden rise and no rain, (2) a sudden fall, or (3) a perfectly horizontal slope were candidates for correction. In many cases, the suspicious portions of the hydrographs identified at HL corresponded to missing data in the quality-controlled USGS mean daily flow record. Thus, setting the hourly data to 'missing' during these periods seemed justified. In some cases, the hourly flow data were compared to the quality-controlled mean daily flow data from USGS. Also, the hourly flow data were converted to Greenwich mean time (GMT) to correspond to the radar data.

### 7.4. Radar-based precipitation data

Rainfall forcing data in the form of NEXRAD gridded estimates were made available through

the NWS web-accessible archive. Hourly gridded files covering the study basins had a nominal 4 km by 4 km resolution. This grid, referred to as the hydrologic rainfall analysis project (HRAP) grid, is based on the polar stereographic projection. It is a subset of the limited fine mesh (LFM) grid used by the nested grid model (NGM) at the NWS National Centers for Environmental Prediction (NCEP). For further details of this mapping, the reader is referred to Reed and Maidment (1999) and Greene and Hudlow (1982). Along with the data, software code segments were supplied to enable participants to easily extract the pertinent sections covering the basins. Examples were also provided so that participants could check their processing.

The precipitation estimates provided to DMIP were copies of the operational data sets created by the NWS Arkansas-Red Basin (ABRFC) RFC in Tulsa, Oklahoma. In this way, participants were given the opportunity to evaluate their models with operational-quality data. A detailed description of the precipitation processing algorithms is beyond the scope of this paper. Interested readers are referred to Young et al. (2000), Seo et al. (1999), Fulton et al. (1998) and Seo (1998) for more information.

### 7.5. Soil texture

Soil texture data at the study basin scale in geographic (latitude/longitude) coordinates were provided in ASCII format. The texture data provided on the DMIP site are a subset of data grids produced at the Pennsylvania State University using State Soil Geographic (STATSGO) data (Miller and White, 1999). Soil texture classes include: sand, silt, clay, and various mixtures such as sandy loam and silty clay loam. Textures are specified for up to 11 layers.

### 7.6. Meteorological data

Meteorological forcing data other than the NEXRAD precipitation estimates were provided to the DMIP effort. Two sources were used. One set of data consists of so-called reanalysis data generated from a numerical weather prediction model. The other set consisted of observed data.

The first set of energy forcing fields for the DMIP basins were obtained from the Environmental

Modeling Center (EMC) of the NCEP Climate Prediction Center (CPC). The hourly forcing data were obtained by converting global 6-hourly reanalysis data to hourly data on 1/8th degree grid. The process involves interpolation in time and space, elevation correction (for air temperature, specific humidity, downward long-wave radiation and surface pressure), zenith angle correction for downward solar radiation, and fine tuning for air temperature using reanalysis 6-hourly maximum and minimum temperature.

The second set of data was derived from the 1/8 degree gridded data files developed by the University of Washington (Maurer et al., 2002). These data included air temperature, incoming shortwave and longwave radiation, atmospheric density, pressure, and vapor pressure, and wind speed. Most of these variables were not direct measurements but rather values calculated from other observations.

#### 7.7. Greenness fraction

Monthly greenness fraction files are derived based on advanced very high resolution radiometer (AVHRR) data (Gutman and Ignatov, 1997). The spatial resolution of these data is 0.144°, or approximately 16 km.

#### 7.8. Free water surface evaporation data (PE)

Participants were also provided climatic monthly mean values of potential evaporation (PE) demand in mm/day. These values were derived using information from seasonal and annual free water surface (FWS) evaporation maps in NOAA Technical Report 33 (Farnsworth et al., 1982) and mean monthly station data from NOAA Technical Report 34 (Farnsworth and Thompson, 1982). Summing the monthly values yields results consistent with the annual and seasonal maps in NOAA Technical Report 33. Mean monthly FWS evaporation estimates are used as PE estimates in the NWS lumped calibrations using the Sacramento model. In the Sacramento model, PE values are adjusted to account for the effects of vegetation to produce ET Demand values; however, the values provided for DMIP were unadjusted PE values.

#### 7.9. Vegetation data

Seventeen categories of vegetation defined by the International Geosphere-Biosphere Program (IGBP) classification system (Eidenshink and Faundeen, 1994) were provided in a 1 km gridded data set.

### 8. Modeling instructions

DMIP participants were asked to follow explicit instructions for calibrating and running their models in order to address the science questions listed in Section 2, Project Design. Appendix B lists the explicit instructions. In the analysis of DMIP results (Reed et al., 2003, this issue), readers will be referred to Appendix B for the naming of simulations. Other than following the modeling instructions, the only constraints were:

- Only the archived NEXRAD radar-based rainfall estimates were to be used for precipitation forcing.
- Participants had to discretize their basin representations so that the required simulations could be derived.

While not an explicit constraint, continuous rather than event simulations were encouraged as the NWS uses continuous models for all of its forecasting. Indeed, one participant submitted event simulations. To allow for a meaningful ‘warm-up’ period for the continuous models, the evaluation statistics were computed for the period starting April 1, 1994, well after the June 1, 1993 start of the calibration period. Moreover, no updating was allowed, as this phase of DMIP did not include a forecast component. All model runs were generated in simulation mode. Participants were instructed to calibrate their models by comparing observed and simulated streamflow only at the designated basin outlet during the calibration period. Even though observed streamflow data existed at some interior nested locations, modelers were asked to ignore these data in the calibration process. One emphasis of DMIP was to assess how well distributed models predict streamflow at interior locations, especially at ungaged sites.

Modelers were asked to generate and submit to HL two basic types of simulations at specified points. The first type of simulation was generated using initial or uncalibrated values of the hydrologic model parameters (and any hydraulic routing parameters). This test was intended to determine how well so-called ‘physically based’ models perform with parameters derived from physical data. Participants submitted their uncalibrated simulations from both the calibration and verification periods. The second type of simulation was generated after hydrologic and hydraulic model parameters are calibrated at the basin outlet. This simulation is meant to show how much calibration is required and what improvement in simulation accuracy is gained. Participants submitted their simulations (using calibrated parameters) for both the calibration and verification periods.

During the same model runs to generate the basin outlet hydrographs (with both calibrated and uncalibrated parameters), participants were required to simultaneously generate simulations at two types of interior points. The first type of interior point is where observed streamflow data are available. As stated above, there should be no calibration using these interior observed data. These ‘blind’ simulations were used to assess how well interior processes can be simulated when calibration was performed using only basin outlet data. Not all of the basins have observed interior streamflow data. The second type of point is an ungaged location along the main channel or a major tributary of the basin. These simulations were analyzed by HL personnel to assess the variability of simulations from the various distributed models. Consequently, participants had to discretize their models in order to generate the interior hydrographs at the specified locations.

## 9. Participants

The NWS was pleased with the number of participants in DMIP. As discussed in Reed et al. (2003, this issue), the participants used models ranging from complex physically based distributed models to sub-basin approaches using lumped conceptual models. Such a diverse group of models provided a wealth of data that the NWS can use to assess its

distributed modeling development. The following institutions and lead investigators participated in DMIP:

1. Massachusetts Institute of Technology, Dr Rafael Bras
2. Hydrologic Research Center, Dr Konstantine Georgakakos
3. DHI Water and Environment, Dr Michael Butts
4. University of Arizona, Dr Hoshin Gupta
5. NCEP/EMC, Dr Kenneth Mitchell, Dr Dag Lohman, Dr Christa Peters-Lidard
6. University of Oklahoma, Dr Baxter Vieux
7. University of Waterloo, Ontario, Dr Allyson Bingeman
8. Utah State U., Dr David Tarboton and National Institute of Water Research, (NIWR), New Zealand, Dr Ross Woods.
9. NWS HL, Dr Michael Smith
10. USDA ARS, Dr Jeff Arnold and TAES Blackland Research Center, Dr Mauro Di Luzio
11. University of California at Berkeley, Dr Xu Liang
12. The Hydraulic and Electrical College of WuHan University, China, Dr Li Lan.

## 10. Evaluation of results

As mentioned earlier, DMIP was formulated as a comparison of distributed models amongst themselves as well as to the existing NWS operational lumped conceptual model. Goodness-of-fit statistics were selected and relative improvement measures were derived in order to provide objective answers to the DMIP science questions in Section 2 of this paper. These statistical evaluations were performed on continuous run periods and isolated rainfall-runoff events (see Reed et al., 2004, this issue) since previous experience in HL showed distributed model gains for specific events (compared to lumped models) are not always readily apparent in statistics for the entire run period. These statistics were approved by the DMIP participants during the course of the project. Appendix A presents all of the formulae used in the analysis by Reed et al. (2004, this issue).



## 11. Summary

DMIP represents perhaps the first organized and published comparison of distributed models amongst themselves and to a widely used lumped model. This project was designed to address several long-standing questions in hydrologic modeling and to guide NWS research and development toward distributed modeling for improved forecasting of US rivers and streams. Of primary interest to the NWS is the practical question: can distributed models reliably generate more accurate streamflow simulations than the existing operational lumped model? A related question is: can a distributed model, calibrated with limited data (i.e. basin outlet discharge information), provide meaningful hydrologic simulations at interior ungaged locations for flash flood simulation?

As seen in the paper by Reed et al. (2004, this issue), DMIP proved to be a successful intercomparison of distributed models having a wide range of complexity. Simulations from models founded on the numerical solutions to the physics equations of water movement were compared to those from simpler conceptual approaches applied to sub-basins. Moreover, DMIP was a venue in which research models were exposed to operational-quality data typically used for river forecasting. This exposure served to highlight the need for continued improvements in the estimation of rainfall, as well as providing researchers with a rigorous opportunity to further develop their models. While DMIP was limited in scope to basins in the southern Great Plains, we feel that a wealth of information was generated that is of interest to the international hydrologic research and operational communities.

## Acknowledgements

We acknowledge Dr Bras of MIT for the seminal idea of such a model intercomparison at the beginning of DMIP. We thank Dr Dennis Lettenmaier of the University of Washington for contributing the large volume of meteorological data. Also, we acknowledge Dr Ken Mitchell and Dr Jin Huang of the NWS/NCEP for contributing the other set of meteorological data. Lee Ann Alf, Darrell Walters, and others at the USGS field offices are gratefully acknowledged for providing

the observed streamflow values. The helpful comments of Dr John Schaake throughout the project and the endorsement of the GCIP/GAPP project are gratefully appreciated. We thank Robert Hartman, Hydrologist-in-Charge at the NWS RFC in Sacramento, CA, and Arlen Feldman of the US Army Corps of Engineers Hydrologic Engineering Center in Davis, CA, for their helpful comments on this manuscript. Finally, we are grateful for the thoughtful comments of an anonymous reviewer on an earlier draft of this paper.

## Appendix A. Statistics used in the analysis of DMIP results

Widely used statistics were selected to compare the DMIP simulations to observed streamflow and to simulations from the NWS operational lumped model. Measures of relative improvement were developed to specifically measure the gain in simulation accuracy realized by using a distributed versus a lumped model.

Percent bias, PB (%):

PB is a measure of total volume difference between two time series, and is important in the evaluation of simulations from continuous hydrologic models. PB is computed as:

$$PB = \frac{\sum_{i=1}^N (S_i - O_i)}{\sum_{i=1}^N O_i} \times (100)$$

where  $S_i$  is the simulated discharge for each time step  $i$ ,  $O_i$  is the observed value, and  $N$  is the total number of values within the time period of analysis.

Simulated or observed mean:

$$\bar{Y} = \frac{\sum_{i=1}^N Y_i}{N}$$

where  $Y$  is any type of data value.

Standard Deviation,  $\sigma$ :

$$\sigma_Y = \sqrt{\frac{\sum_{i=1}^N (Y_i - \bar{Y})^2}{N - 1}}$$

Correlation coefficient,  $r$

While not used explicitly in the DMIP results paper by Reed et al. (2004, this issue), we present

the formula for the correlation coefficient as background for the discussion on the modified correlation coefficient. The correlation coefficient  $r$  is defined as:

$$r = \frac{N \sum_{i=1}^N S_i O_i - \sum_{i=1}^N S_i \sum_{i=1}^N O_i}{\sqrt{\left[ N \sum_{i=1}^N S_i^2 - \left( \sum_{i=1}^N S_i \right)^2 \right] \left[ N \sum_{i=1}^N O_i^2 - \left( \sum_{i=1}^N O_i \right)^2 \right]}}$$

Modified correlation coefficient,  $R_{\text{mod}}$  (McCuen and Snyder, 1975)

Recognizing the tendency of the correlation coefficient to be overly influenced by outliers and to be insensitive to differences in the size of hydrographs, McCuen and Snyder (1975) developed a modified correlation coefficient. We select this statistic to objectively compare hydrographs for specific rainfall/runoff events. In this statistic, the normal correlation coefficient is reduced by the ratio of the standard deviations of the observed and simulated hydrographs. The minimum standard deviation (numerator) and maximum standard deviation (denominator) is selected so as to derive and adjustment factor less than unity:

$$r_{\text{mod}} = r \frac{\min\{\sigma_{\text{sim}}, \sigma_{\text{obs}}\}}{\max\{\sigma_{\text{sim}}, \sigma_{\text{obs}}\}}$$

Nash–Sutcliffe efficiency,  $R^2$

The coefficient of efficiency  $R^2$  (Nash and Sutcliffe, 1970) is widely used to evaluate hydrologic model simulations.  $R^2$  is defined as:

$$R^2 = 1.0 - \frac{\sum_{i=1}^N (S_i - O_i)^2}{\sum_{i=1}^N (O_i - \bar{O})^2}$$

In physical terms,  $R^2$  is the ratio of the residual variance to the initial or ‘no-model’ variance, and represents the proportion of the initial variance explained by the model. Values of  $R^2$  vary from negative infinity to 1.0. Values closer to 1.0 indicate good agreement, while negative values of  $R^2$  indicate that the observed mean is a better predictor than the model.

The following aggregate statistics were generated for selected individual events.

(a) Percent absolute event runoff error,  $E_r$ , (%)

This is the absolute value of the runoff bias from several events expressed as a percentage:

$$E_r = \frac{\sum_{i=1}^N |B_i|}{N Y_{\text{avg}}} \times 100$$

(b) Percent absolute peak error,  $E_p$ , (%)

This is the absolute value of error in peak discharge for several events expressed as a percentage:

$$E_p = \frac{\sum_{i=1}^N |Q_{pi} - Q_{psi}|}{N Q_{\text{pavg}}} \times 100$$

(c) Percent absolute peak time error,  $E_t$ , (h)

This is the absolute value of the error in peak time for several events expressed as a percentage:

$$E_t = \frac{\sum_{i=1}^N |T_{pi} - T_{psi}|}{N} \times 100$$

where:

$B_i$  is the runoff bias per  $i$ -th flood event, mm,

$Y_{\text{avg}}$  is the average observed flood event runoff, mm,

$Q_{p,i}$  is the observed peak discharge of the  $i$ -th flood event,  $\text{m}^3 \text{s}^{-1}$ ,

$Q_{ps,i}$  is the simulated peak discharge of the  $i$ -th flood event,  $\text{m}^3 \text{s}^{-1}$ ,

$Q_{p,\text{avg}}$  is the average observed peak discharge,  $\text{m}^3 \text{s}^{-1}$ ,

$T_{p,i}$  is the observed time to the  $i$ -th peak, h,

$T_{ps,i}$  is the simulated time to the  $i$ -th peak, h, and

$N$  is the number of selected events.

The following relative improvement statistics were computed to quantify the gains in simulation accuracy realized from the use of distributed models versus the NWS lumped operational model. These statistics were computed to evaluate one of the fundamental questions posed in DMIP: can a distributed hydrologic model generate more accurate basin-outlet simulations than a lumped model?

(a) Flood runoff improvement  $I_y$ , %

This statistic measures the improvement in computed runoff volume:

$$I_y = \frac{\sum_{i=1}^N (|Y_i - Y_{s,i}| - |Y_i - Y_{z,i}|)}{NY_{\text{avg}}} \times 100$$

(b) Peak flow improvement  $I_p$ , %

This statistic quantifies the gain in simulating the peak event discharge:

$$I_p = \frac{\sum_{i=1}^N (|Q_{p,i} - Q_{ps,i}| - |Q_{p,i} - Q_{pz,i}|)}{NQ_{p,\text{avg}}} \times 100$$

(c) Peak time improvement  $I_t$ 

This statistic measures the improvement in simulated peak time:

$$I_t = \frac{\sum_{i=1}^N (|T_{p,i} - T_{ps,i}| - |T_{p,i} - T_{pz,i}|)}{N}$$

where:

$Y_i$  is the observed runoff volume of the  $i$ -th flood, mm

$Y_{s,i}$  is the (distributed model) simulated runoff volume of the  $i$ -th event, mm

$Y_{z,i}$  is the (lumped model) simulated runoff of the  $i$ -th flood to compare with, mm

$Y_{\text{avg}}$  is the average observed flood event runoff volume of  $N$  events, mm

$Q_{p,i}$  is the observed peak discharge of the  $i$ -th event,  $\text{m}^3 \text{s}^{-1}$

$Q_{ps,i}$  is the (distributed model) simulated peak discharge of the  $i$ -th event,  $\text{m}^3 \text{s}^{-1}$

$Q_{pz,i}$  is the (lumped model) simulated peak discharge,  $\text{m}^3 \text{s}^{-1}$

$Q_{p,\text{avg}}$  is the average observed peak discharge of  $N$  events,  $\text{m}^3 \text{s}^{-1}$

$T_{p,i}$  is the observed time of the  $i$ -th peak, h

$T_{ps,i}$  is the (distributed model) simulated time of the  $i$ -th peak, h

$T_{pz,i}$  is the (lumped model) simulated time to  $i$ -th peak, h

$N$  is the number of selected events.

## Appendix B. Specific modeling instructions

The following specific modeling instructions for the DMIP basins were designed to address the science and operational questions outlined earlier. Participants were asked to follow these instructions explicitly and to set up their models so that the required simulations could be generated.

## 1. Model run periods

1. Calibration period: May 1, 1993 to May 31, 1999

2. Verification period: June 1, 1999 to July 31, 2000

2. Simulations should have an hourly time step or have an ordinate spacing that includes hourly values to facilitate comparison to the USGS observed hourly discharge data.

3. Illinois River Basin: Baron Fork with basin outlet at USGS gage 07197000 at Eldon, Oklahoma. Drainage area  $795 \text{ km}^2$ . USGS gage location: Lat.  $35^\circ 55' 16''$  Lon.  $94^\circ 50' 18''$ , on downstream left abutment of bridge on State Highway 51, 0.64 km southeast of Eldon.

a. Generate two simulations at the basin outlet that span both the calibration and validation periods:

1. with uncalibrated/initial parameters

2. with calibrated parameters

b. While generating the two basin outlet simulations, compute interior simulations at:

1. Peacheater Creek at USGS gage 07196973 at Christie, OK. drainage area  $65 \text{ km}^2$ . Gage: Lat.  $35^\circ 57' 17''$  Lon.  $94^\circ 41' 46''$ , 0.64 km upstream of junction with Baron Fork. No calibration is allowed using observed streamflow data at this point. It is to be a 'blind' simulation.

2. Ungaged location on channel at Lat.  $35^\circ 54' 38''$ , Lon.  $94^\circ 32' 16''$ , drainage area  $151.3 \text{ km}^2$  (Note: before 2/23/2002, the area estimate given on this site was  $208.9 \text{ km}^2$ . The  $208.9$  estimate was derived using a 400 m resolution DEM (See DMIP DEM data page.), but there is a big discrepancy between this area and the area derived

- from the 30 m DEM (151.3 km<sup>2</sup>). We believe the area derived from the 30 m DEM is more accurate.
4. Illinois River Basin: Illinois River with basin outlet at USGS gage 07195500 at Watts, Oklahoma. Drainage area 1,645 km<sup>2</sup>. USGS gage location: Lat 36°07'48" Lon 94°34'19", on downstream side of pier of bridge on Highway 59, 2.4 km north of Watts.
    - a. Generate two simulations at the basin outlet that span both the calibration and validation periods:
      1. with uncalibrated/initial parameters
      2. with calibrated parameters
    - b. While generating the two basin outlet simulations, compute interior simulations at:
      1. Illinois River at USGS gage 07194800 at Savoy, Arkansas. Drainage area 433 km<sup>2</sup>. Gage: Lat. 36°06'11", Lon. 94°20'39"
      2. Ungaged location on channel at Lat. 36°2'53", Lon. 94°19'16", drainage area 198.1 km<sup>2</sup>.
  5. Illinois River Basin: Illinois River with basin outlet at USGS gage 07196500 at Tahlequah, Oklahoma. Drainage area: 2.484 km<sup>2</sup>. Gage location: Lat. 35°55'22", Lon. 94°55'24", 0.32 km downstream from US Highway 62, 3.5 miles northeast of Tahlequah.
    - a. Generate two simulations at the basin outlet that span both the calibration and validation periods:
      1. with uncalibrated/initial parameters
      2. with calibrated parameters
    - b. While generating the 2 basin outlet simulations, compute interior simulations at:
      1. Illinois River at USGS gage in Watts, OK.
      2. Illinois River at USGS gage in Savoy, Arkansas.
      3. Flint Creek at USGS gage 07196000 in Kansas, OK. Drainage area 285 km<sup>2</sup>. Gage location: Lat. 36°11'11", Lon. 94°42'24" upstream from bridge on US Highway 412. (Note: no specific calibration using observed streamflow at these points, even though calibration was performed for the Illinois River at Watts, OK for the runs in item B.4 above.).
  6. Elk River with basin outlet at USGS gage 07189000 in Tiff City, Missouri. Drainage area 2.251 km<sup>2</sup>. Gage location: Lat. 36°37'53" Lon. 94°35'12", on bridge on State Highway 43, 4.83 km southeast of Tiff City.
    - a. Generate two simulations at the basin outlet that span both the calibration and validation periods:
      1. with uncalibrated/initial parameters
      2. with calibrated parameters
    - b. While generating the two basin outlet simulations, compute interior simulations at ungaged location on channel at Lat. 36°35'38", Lon. 94°9'17", drainage area 318.4 km<sup>2</sup>. (Note: there is no interior observed streamflow for this basin).
  7. Blue River with designated basin outlet at USGS gage 07332500 in Blue, Oklahoma. Drainage area 1.233 km<sup>2</sup>. Gage location: Lat. 33°59'49" Lon. 96°14'27", on bridge on US Highway 70, 1.61 km west of Blue, Oklahoma.
    - a. Generate two simulations at the basin outlet that span both the calibration and validation periods:
      1. with uncalibrated/initial parameters
      2. with calibrated parameters
    - b. While generating the two basin outlet simulations, compute interior hydrographs at:
      1. Ungaged location on main channel of the Blue River at Lat. 34°30'24", Lon. 96°40'30", drainage area 153.2 km<sup>2</sup>
      2. Ungaged location on main channel of the Blue River at Lat. 34°26'39", Lon. 96°37'30", drainage area 302.7 km<sup>2</sup>. (note: there is no interior observed streamflow for these two points).

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